# EXHIBIT 5 TO THE MEMORANDUM OF LAW

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Web CRD - U5 Print Preview » All Sections [User Name: jannexy, OrgID: 47883]

Page 1 of 4

# FORM U5 UNIFORM TERMINATION NOTICE FOR SECURITIES INDUSTRY REGISTRATION

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02	/13	/20	07

Rev. Form U5 (10/200S)

## NOTICE TO THE INDIVIDUAL WHO IS THE SUBJECT OF THIS FILING

Even if you are no longer registered you continue to be subject to the jurisdiction of regulators for at least two years after your registration is terminated and may have to provide information about your activities while associated with this firm. Therefore, you must forward any residential address changes for two years following your termination date or last Form U5 amendment to: CRD Address Changes, P.O. Box 9495, Gaithersburg, MD 20898-9495.

#### 1. GENERAL INFORMATION

First Name:

Middle Name:

Last Name:

Suffix:

OLIVER

LIONEL

VELEZ

Firm CRD #:

Firm Name:

47883

MASTERTRADER.COM

Firm NFA #:

Firm Billing Code:

Individual CRD #: 3264135

Individual SSN:

074-52-6376

Individual NFA #:

Office of Employment Address

CRD Branch #	NYSE Branch Code #	Firm Billing Code	1		Type of Office	Start Date	End Date
D Main חר"			7-11 SOUTH BROADWAY SUITE 210A WHITE PLAINS , NY 10601	N	Located At	04/01/1999	02/12/2007
275813		1	7-11 SOUTH BROADWAY SUITE 210A WHITE PLAINS , NY 10601 UNITED STATES	N	Located At	06/14/2006	02/12/2007

Rev. Form U5 (10/2005)

#### 2. CURRENT RESIDENTIAL ADDRESS

#### NOTICE TO THE FIRM

This is the last reported residential address. If this is not current, please enter the current residential address.

From	L	Street	City	State	Country	Postal Code
	PRESENT	14 RICHBELL ROAD	WHITE PLAINS	NΥ	UNITED STATES	10605

Rev. Form U5 (10/2005

#### 3. FULL TERMINATION

## is this a FULL TERMINATION? GYES CNo

Note: A "Yes" response will terminate ALL registrations with all SROs and all jurisdictions.

Reason for Termination: Voluntary \* Provide an explanation below

Rev.	Form	U5	(10	1/20	051

#### 4. DATE OF TERMINATION

Date Terminated (MM/DD/YYYY): 02/12/2007

A complete date of termination is required for full or partial termination. This date represents the actual date that the termination of registration is effective.

Rev. Form U5 (10/2005)

## 6. AFFILIATED FIRM TERMINATION

No Information Filed

Rev. Form U5 (10/2005)

## 7. DISCLOSURE QUESTIONS

IF THE ANSWER TO ANY OF THE FOLLOWING QUESTIONS IN SECTION 7 IS 'YES', COMPLETE DETAILS OF ALL EVENTS OR PROCEEDINGS ON APPROPRIATE DRP(S). IF THE INFORMATION IN SECTION 7 HAS ALREADY BEEN REPORTED ON FORM U4 OR FORM U5, DO NOT RESUBMIT DRPS FOR THESE ITEMS. REFER TO THE EXPLANATION OF TERMS SECTION OF FORM U5 INSTRUCTIONS FOR EXPLANATION OF ITALICIZED WORDS.

## Investigation Disclosure

YES NO

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'A. Currently is, or at termination was, the individual the subject of an investigation or proceeding by a domestic or foreign governmental body or self-regulatory organization with jurisdiction over investment-related businesses? (Note: Provide details of an investigation on an Investigation Disclosure Reporting Page and details regarding a proceeding on a Regulatory Action Disclosure Reporting Page.)

#### Internal Review Disclosure

**7B.** Currently is, or at termination was, the individual under internal review for fraud or wrongful taking of property, or violating *investment-related* statutes, regulations, rules or industry standards of conduct?

YES NO

#### Criminal Disclosure

YES NO

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- **7C.** While employed by or associated with your *firm*, or in connection with events that occurred while the individual was employed by or associated with your *firm*, was the individual:
  - 1. convicted of or did the Individual plead guilty or note contendere ("no contest") in a domestic, foreign or military court to any felony?
  - 2. charged with any felony?

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- 3. convicted of or did the individual plead guilty or nolo contendere ("no contest") in a domestic, foreign or military court to a misdemeanor involving: investments or an investment-related business, or any fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses?
- 4. charged with a misdemeanor specified in 7(C)(3)?

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### Regulatory Action Disclosure

YES NO

https://crd.nasd.com/frm/U4U5/CRD\_FRM\_U4U5ViewHist.aspx?FR=0&RefNum=0963028947F4301C...

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7D.	the in discip (othe	e employed by or associated with your firm, or in connection with events that occurred while addividual was employed by or associated with your firm, was the individual involved in any polinary action by a domestic or foreign governmental body or self-regulatory organization are than those designated as a "minor rule violation" under a plan approved by the U.S. rities and Exchange Commission) with jurisdiction over the investment-related businesses?	C	Œ
		Customer Complaint/Arbitration/Civil Litigation Disclosure		
			YES	SNO
7E.	1.	In connection with events that occurred while the individual was employed by or associated with your firm, was the individual named as a respondent/defendant in an investment-related, consumer-initiated arbitration or civil litigation which alleged that the individual was involved in one or more sales practice violations and which:  (a) is still pending, or;	ا	<del>(</del> •
		<ul> <li>(b) resulted in an arbitration award or civil judgment against the individual, regardless of amount, or;</li> <li>(c) was settled for an amount of \$10,000 or more.</li> </ul>	r .	e e
			•	1.
	2.	In connection with events that occurred while the individual was employed by or associated with your <i>firm</i> , was the individual the subject of an <i>investment-related</i> , consumer-initiated complaint, not otherwise reported under question 7(E)(1) above, which alleged that the individual was <i>involved</i> in one or more <i>sales practice violations</i> , and which complaint was settled for an amount of \$10,000 or more?	ا د	F
	3.	In connection with events that occurred while the individual was employed or associated with your <i>firm</i> , was the individual the subject of an <i>investment-related</i> , consumer-initiated written complaint, not otherwise reported under questions 7(E)(1) or 7(E)(2) above, which	,	
		(a) would be reportable under question 14I(3)(a) on Form U4, if the individual were still employed by your firm, but which has not previously been reported on the individual Form U4 by your firm; or		ţ.
		(b) would be reportable under question 14I(3)(b) on Form U4, if the individual were still employed by your firm, but which has not previously been reported on the individual Form U4 by your firm.	's	(
		Termination Disclosure		
	<del></del>		ΥE	S NO
フF.	Did to re	the individual voluntarily <i>resign</i> from your firm, or was the individual discharged or permittensign from your firm, after allegations were made that accused the individual of:	d	

violating investment-related statutes, regulations, rules or industry standards of conduct? 1.

fraud or the wrongful taking of property? 2.

failure to supervise in connection with investment-related statutes, regulations, rules or industry standards of conduct?

Rev. Form U5 (10/2005)

## 8. SIGNATURE

Please Read Carefully

All signatures required on this Form U5 filing must be made in this section.

A "Signature" includes a manual signature or an electronically transmitted equivalent. For purposes of an electronic form filing, a signature is effected by typing a name in the designated signature field. By typing a name in this field, the signatory acknowledges and represents that the entry constitutes in every way, use, or aspect, his or her legally binding signature.

8A. FIRM ACKNOWLEDGMENT

Case 1:07-cv-08524-AKH Document 11-9 Filed 11/19/2007 Page 5 of 5

Web CRD - U5 Print Preview » All Sections [User Name: jamnexy, OrgID: 47883]

Page 4 of 4

This section must be completed on all U5 form filings submitted by the firm.

INDIVIDUAL ACKNOWLEDGMENT AND CONSENT

8B. This section must be completed on amendment U5 form filings where the individual is submitting changes to Part II of the INTERNAL REVIEW DRP or changes to Section 2 (CURRENT RESIDENTIAL ADDRESS).

## **8A. FIRM ACKNOWLEDGMENT**

I VERIFY THE ACCURACY AND COMPLETENESS OF THE INFORMATION CONTAINED IN AND WITH THIS FORM.

Person to contact for further information

JAIME ANNEXY

Telephone # of person to contact

914-422-2966

Signature of Appropriate Signatory

JAIME ANNEXY

Type or Print Name of Appropriate Signatory

Date (MM/DD/YYYY) 92/13/2007

	Rev. Form U5 (10/2005)
INVESTIGATION DRP	
THE PERSON NAMED IN COLUMN TWO IS NOT THE PERSON NAMED IN COLUMN TO PE	

No Information Filed

Rev. Form U5 (10/2005)

INTERNAL REVIEW DRP

No Information Filed

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CRIMINAL DRP

No Information Filed

Rev. Form U5 (10/2005)

TERMINATION DRP

No Information Filed

Rev. Form U5 (10/2005)

REGULATORY ACTION DRP

No Information Filed

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CUSTOMER COMPLAINT/ARBITRATION/CIVIL LITIGATION DRP

No Information Filed